



European
Commission

FET-Open in Horizon 2020

Work Programme 2016-2017 (DRAFT)

Call conditions and Evaluation

Research Executive Agency
FET-Open: Fostering Novel Ideas

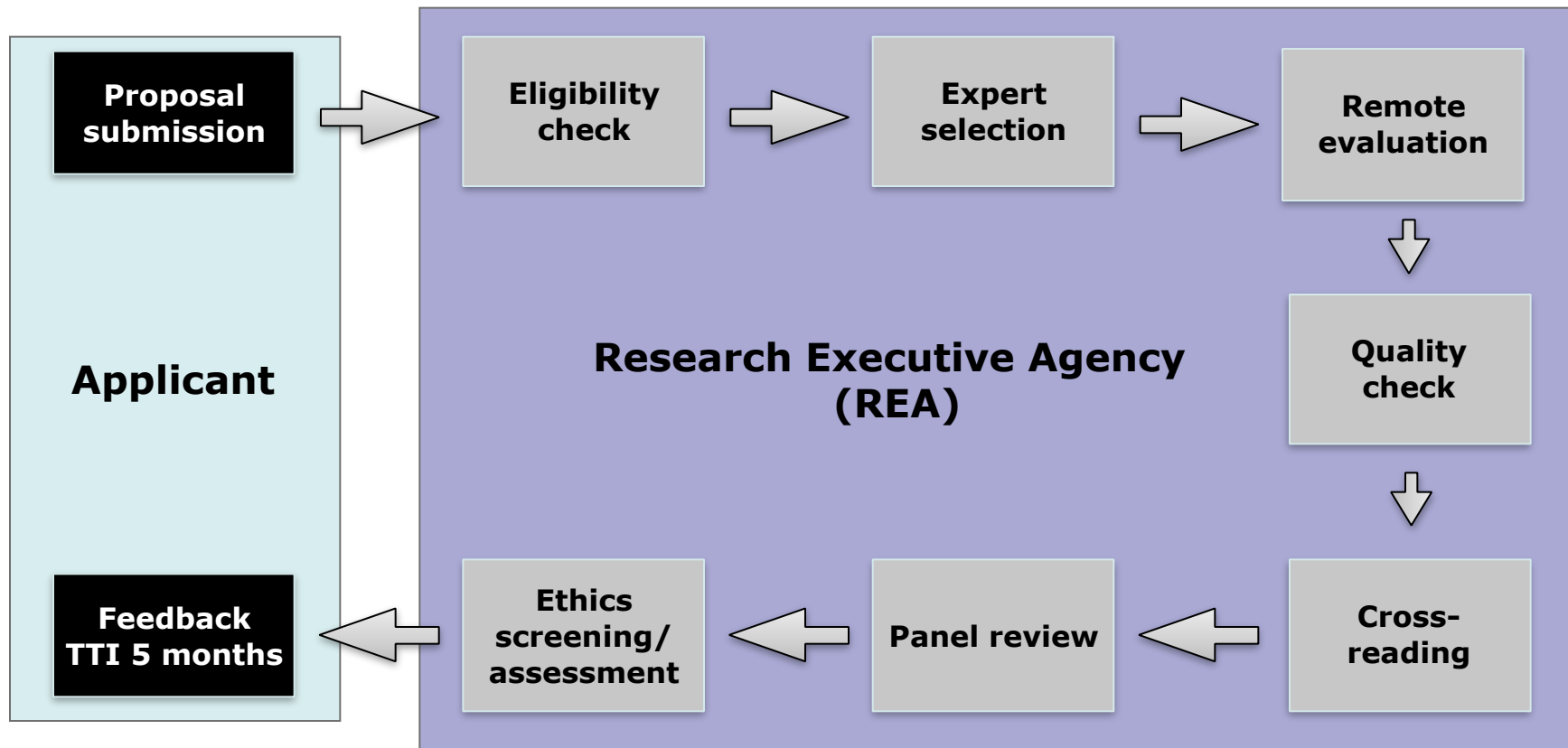
FET-Open Call Conditions

Topic	Budget 2016 (€ Million)	Budget 2017 (€ Million)	Deadlines	Opening
FETOPEN-01-2016-2017 (RIA)	84.00	84.00 84.00	11 May 2016 17 Jan 2017 27 Sep 2017	8 Dec 2015
FETOPEN-02-2016 (CSA)	3.00		11 May 2016	8 Dec 2015
FETOPEN-03-2017 (CSA)		1.50	17 Jan 2017	20 Sep 2016
FETOPEN-04-2016-2017 (CSA)	1.20	1.80	29 Sep 2016 27 Sep 2017	1 Mar 2016
	88.20	171.30		

FET-Open Call Conditions and Evaluation

- **Single stage procedure**
- **High quality peer review** by 4 experts
- Time table for evaluation and GA signature
 - **Time to Inform (TTI)** - outcome of the evaluation within **5 months**
 - **Time to Grant (TTG)** - signature of the GA within **8 months**
- **Admissibility and eligibility conditions** - parts B and C of the General Annexes to the Work Programme (exception for FETOPEN-04-2016-2017)
- **Grant Agreement Preparation (GAP)** - grant completely based on proposal - **no negotiation**
- **Consortium Agreement (CA)** to be concluded prior to Grant Agreement (GA)

1 step submission and evaluation



Proposal composition (RIA)

- **Part A:** Administrative part of the proposal
- **Part B:** Narrative part of the proposal (core proposal)
 - Cover page (1 page A4)
 - **Section 1: S&T Excellence**
 - **Section 2: Impact**
 - **Section 3: Implementation**
 - **Section 4: Members of the consortium** (Additional information)
 - **Section 5: Ethics and Security** (Additional information)
 - **Pages limit:** Sections 1, 2 and 3 together are **strictly limited to 15 pages A4** and Sections 4-5 are **not covered by the limit of pages**

Proposal composition (CSA)

- **Part A:** Administrative part of the proposal
- **Part B:** Narrative part of the proposal (core proposal)
 - Cover page
 - Section 1: **S&T Excellence**
 - Section 2: **Impact**
 - Section 3: **Implementation**
 - Section 4: **Members of the consortium** (Additional information)
 - Section 5: **Ethics and Security** (Additional information)
 - **Pages limit:** The cover page, sections 1, 2 and 3 together are **strictly limited to 50 pages A4** and Sections 4-5 are **not covered by the limit of pages**
 - **Remark:** For FETOPEN-04-2016-2017 Sections 1-3 are limited to 7 pages A4

Evaluation Criteria, Scoring and Thresholds (RIA)

Excellence	Impact	Implementation
<ul style="list-style-type: none"> • Clarity and novelty of <u>long-term vision</u>, and ambition and concreteness of the targeted <u>breakthrough</u> towards that vision • <u>Novelty</u>, <u>non-incrementality</u> and <u>plausibility</u> of the proposed research for achieving the targeted breakthrough and its <u>foundational</u> character • <u>Appropriateness</u> of the research methodology and its <u>suitability</u> to address high scientific and technological risks • Range and added value from <u>interdisciplinarity</u>, including measures for exchange, cross-fertilisation and synergy 	<ul style="list-style-type: none"> • Importance of the new technological outcome with regards to its <u>transformational impact on technology and/or society</u> • <u>Impact on future European scientific and industrial leadership</u>, notably from involvement of new and high potential actors • Quality of methods and measures for achieving <u>impact beyond the research world</u> and for establishing <u>European though leadership</u>, as perceived by industry and society 	<ul style="list-style-type: none"> • Soundness of the <u>work plan</u> and clarity of <u>intermediate targets</u> • Relevance of <u>expertise</u> in the consortium • Appropriate allocation and justification of <u>resources</u> (person-months, equipment)
<p>Threshold: 4/5 Weight: 60%</p>	<p>Threshold: 3.5/5 Weight: 20%</p>	<p>Threshold: 3/5 Weight: 20%</p>

Evaluation Criteria, Scoring and Thresholds (CSA)

Excellence	Impact	Implementation
<ul style="list-style-type: none"> • Clarity and pertinence of the <u>objectives</u> • Soundness of the <u>concept</u>, and credibility of the <u>proposed methodology</u> • Quality of the proposed <u>coordination and/or support measures</u> 	<ul style="list-style-type: none"> • The extent to which the outputs of the project would <u>contribute to each of the expected impacts</u> mentioned in the work programme under relevant topic • Quality of the proposed <u>measures</u> to: <ul style="list-style-type: none"> - <u>exploit and disseminate</u> the project results (including management of IPR), and to manage <u>research data</u> where relevant - <u>communicate</u> the project activities to different target audiences 	<ul style="list-style-type: none"> • Quality and effectiveness of the <u>work plan</u>, including extent to which the resources assigned to work packages are in line with their objectives and deliverables • Appropriateness of the <u>management structures and procedures</u>, including <u>risk and innovation management</u> • Complementarity of the <u>participants</u> and extent to which the <u>consortium</u> as whole brings together the necessary expertise • Appropriateness of the <u>allocation of tasks</u>, ensuring that all participants have a <u>valid role and adequate resources</u> in the project to fulfil that role
<p>Threshold: 3/5 Weight: 40%</p>	<p>Threshold: 3/5 Weight: 40%</p>	<p>Threshold: 3/5 Weight: 20%</p>

Evaluation Criteria, Scoring and Thresholds (Launchpad)

Excellence	Impact	Implementation
<ul style="list-style-type: none"> • Clarity and quality of the <u>innovation idea</u> and its link with the previous or ongoing FET project indicated in the proposal • Concreteness of <u>objectives</u> and their pertinence for moving the output of FET research through the initial steps of a process leading to a <u>commercial or social innovation</u> • Suitability and necessity of the proposed <u>activities to reach the stated objectives</u>, including their complementarity to actions already foreseen or expected from the previous or ongoing FET project 	<ul style="list-style-type: none"> • Added <u>innovation potential</u> with respect to the FET project from which this innovation originates • Extent of <u>economic and/or societal benefits</u> resulting from this innovation as identified in the proposal • Suitability of <u>measures for taking the innovation beyond the research world</u>, including through engagement with prospective exploitation partners, other stakeholders, users or society 	<ul style="list-style-type: none"> • Quality of <u>work plan</u> and <u>management</u> • Relevance of <u>expertise</u> in the consortium • Appropriate allocation and justification of <u>resources</u> (person-months)
<p>Threshold: 3/5 Weight: 40%</p>	<p>Threshold: 3.5/5 Weight: 40%</p>	<p>Threshold: 3/5 Weight: 20%</p>

Feedback to proposers - Evaluation Summary Report (ESR)

- **Collation of all individual comments**, per sub-criterion, from 4 IERs - may be mutually contradicting (no consensus) - full transparency
- **Consensus score** of the proposal, per criterion, is calculated as a **median of individual scores** from Individual Evaluation Reports (IER)
- **Final score** is **decided by the final panel review** and calculated as a weighted sum of scores from all 3 criteria
- Final panel review adds also some additional comments

Some additional important information

- **Operational capacity** – reflected in the score for Criterion 3
- **In/out of scope** – not in terms of topics; reflected in the scores for Criteria 1 & 2
- **Ethics assessment** – not part of the evaluation
- **Horizon 2020 Open Research Data Pilot** – not part of the evaluation, but the participation in the pilot (default option) is very important in order to ensure maximal efficiency from the EC investments in research

Place To Be!

<http://ec.europa.eu/digital-agenda/ICT2015>



Thank you for your attention

